

THE TOPOLOGY OF FREE PRODUCTS  
OF TOPOLOGICAL GROUPS

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1. Introduction

In [3], Graev introduced the free product of Hausdorff topological groups  $G$  and  $H$  (denoted in this paper by  $G \amalg H$ ) and showed it is algebraically the free product  $G * H$  and is Hausdorff. While it has been studied subsequently, for example [4, 6, 7, 8, 11, 12], many questions about its topology remain unsolved. In particular, partial negative results about local compactness were obtained in [7, 11, 12]. In this paper we obtain a complete solution by showing that  $G \amalg H$  is locally compact if and only if  $G, H$  and  $G \amalg H$  are discrete. A similar line of reasoning allows us to show that  $G \amalg H$  has no small subgroups if and only if  $G$  and  $H$  have no small subgroups.

We are able to obtain much stronger results when  $G$  and  $H$  are  $k_\omega$ -spaces, a class of spaces which includes, for example, all compact spaces and all connected locally compact groups. In this case we are able to show that the cartesian subgroup,  $\text{gp}[G, H] = \text{gp}\{g^{-1}h^{-1}gh : g \in G, h \in H\}$ , of  $G \amalg H$  is a free topological group, show that certain subgroups of  $G \amalg H$  are themselves free products, and show that the topology of  $G \amalg H$  depends only on the topologies and not on the algebraic structure of  $G$  and  $H$ .

2. Definitions and preliminaries

If  $X$  is a completely regular Hausdorff space with distinguished point  $e$ , the (Graev) free topological group on  $X$ ,  $FG(X)$ , is algebraically the free group on  $X \setminus \{e\}$ , with  $e$  as identity element and the finest topology making it into a topological group and inducing the given topology on  $X$ ; by [2],  $FG(X)$  is Hausdorff.

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If  $G$  and  $H$  are topological groups, their *free product*  $G \amalg H$  is a topological group whose underlying abstract group is the algebraic free product  $G * H$  and whose topology is the finest topology making it into a topological group and inducing the given topologies on  $G$  and  $H$ ; by [3], if  $G$  and  $H$  are Hausdorff then  $G \amalg H$  is Hausdorff.

For the remainder of the paper all topological groups and spaces will be presumed Hausdorff.

A topological group is said to be *NSS* (*or to have no small subgroups*) if there is a neighbourhood of the identity  $e$  which contains no subgroup other than  $\{e\}$ . This property is most important for locally compact groups in that Hilbert's fifth problem yields that a locally compact group is a Lie group if and only if it is NSS.

We require the following algebraic preliminaries: The identity map  $G \rightarrow G$  and the trivial map  $H \rightarrow \{e\} \subset G$  extend simultaneously to a homomorphism

$\pi_1 : G * H \rightarrow G$ ; by [3], this is also a continuous map from  $G \amalg H$  to  $G$ .

Similarly  $\pi_2 : G * H \rightarrow H$  is a homomorphism and a continuous map on  $G \amalg H$ . The map  $\pi_1 \times \pi_2 : G * H \rightarrow G \times H$  has kernel  $\text{gp}[G, H]$ , where

$[G, H] = \{g^{-1}h^{-1}gh : g \in G, h \in H\}$ . Indeed  $\text{gp}[G, H]$  is a free group with free basis  $[G, H] \setminus \{e\}$ . We find it convenient below to introduce a map

$c : G \times H \rightarrow [G, H]$  given by  $c(g, h) = [g, h] = g^{-1}h^{-1}gh$ . If  $w$  is any element of  $G * H$  it has a unique representation  $w = ghk$ , where  $g \in G$ ,  $h \in H$  and

$k \in \text{gp}[G, H]$ . We define a map  $\pi_c : G * H \rightarrow \text{gp}[G, H]$  by

$\pi_c(w) = k = \pi_2(w)^{-1} \pi_1(w)^{-1} w$ : notice that it is *not* a homomorphism. Finally we note that there is a bijection (not a homomorphism)  $p : G \times H \times \text{gp}[G, H] \rightarrow G * H$  given by  $p(g, h, k) = ghk$ . The inverse map is  $p^{-1}(w) = \{\pi_1(w), \pi_2(w), \pi_c(w)\}$ .

In §4 we use some additional machinery, that of  $k_\omega$ -spaces; we rely heavily on [4]. A topological space  $X$  is said to be a  $k_\omega$ -space with decomposition  $X = \bigcup X_n$ , if  $X_1, X_2, \dots$  are compact subsets of  $X$ ,  $X_n \subset X_{n+1}$  for all  $n$ ,  $X = \bigcup_{n=1}^{\infty} X_n$  and the  $X_n$  determine the topology on  $X$  in the sense that a subset  $A$  of  $X$  is closed if and only if  $A \cap X_n$  is compact for all  $n$ . The decomposition  $X = \bigcup X_n$  is essential, in that  $X$  may be a union of some other ascending chain of compact subsets which fail to determine the topology. If  $X = \bigcup X_n$  and  $Y = \bigcup Y_n$  where  $X_n$  and  $Y_n$  are ascending chains of compact sets, the two ascending chains determine the same topology on  $X$  provided each  $X_n$  is contained in some  $Y_k$  and each  $Y_n$  is

contained in some  $X_m$ .

If  $G$  is a topological group and a  $k_\omega$ -space the decomposition  $G = \cup G_n$  may be chosen so that the  $G_n$  satisfy two additional conditions: if  $g \in G_n$  then  $g^{-1} \in G_n$ , and if  $g \in G_n$ ,  $h \in G_k$  then  $gh \in G_{n+k}$ .

If  $X$  is any subset of a group  $G$ , we let  $\text{gp}_n(X)$  denote the set of elements of  $G$  which are products of at most  $n$  elements of  $X$ . Hence  $\text{gp}_n(G) \subset G_{n^2}$ .

The class of topological groups which are  $k_\omega$ -spaces is large enough to include many of the standard examples; in particular, every connected locally compact group is a  $k_\omega$ -space [12].

We rely heavily on the following result of [4]:

**PROPOSITION.** *Let  $G$  be a topological group and  $X$  a subset which generates  $G$  algebraically. Let  $X = \cup X_n$  be a  $k_\omega$ -space. Then  $G$  has the finest group topology consistent with the original topology on  $X$  if and only if  $G$  is a  $k_\omega$ -space with decomposition  $G = \cup \text{gp}_n(X_n)$ .*

It follows that if  $X = \cup X_n$  is a  $k_\omega$ -space then  $FG(X)$  is a  $k_\omega$ -space with decomposition  $FG(X) = \cup \text{gp}_n(X_n)$ . If  $G = \cup G_n$  and  $H = \cup H_n$  are  $k_\omega$ -spaces then  $G \amalg H$  is a  $k_\omega$ -space with decomposition  $G \amalg H = \cup \text{gp}_n(G_n \cup H_n)$ .

Finally note that when we say that a continuous map  $f: X \rightarrow Y$  of topological spaces is *quotient map* we mean that  $Y$  has the finest topology for which  $f$  is continuous; this is equivalent to requiring that  $A \subset Y$  is closed whenever  $f^{-1}(A)$  is closed in  $X$ .

### 3. Results for general topological groups

We begin with a few words about Graev's proofs of the existence of free topological groups and free products of topological groups.

Let  $X$  be a completely regular space and  $e$  a distinguished point of  $X$ . Let  $G(X)$  be the free group on the set  $X \setminus \{e\}$ , with  $e$  as the identity element of the group. Let  $X' = X \cup X^{-1}$ . Being completely regular, the topology of  $X$  is defined by a family of pseudometrics. Let  $\rho$  be a continuous pseudometric on  $X$ . Graev extended  $\rho$  to a two-sided invariant pseudometric on  $G(X)$  as follows: Extend  $\rho$  to  $X'$  by setting  $\rho(x^{-1}, y^{-1}) = \rho(x, y)$  and

$$\rho(x^{-1}, y) = \rho(x, y^{-1}) = \rho(x, e) + \rho(y, e)$$

for  $x$  and  $y$  in  $X$ . For  $u$  and  $v$  in  $G(X)$  we have an infinity of representations  $u = x_1 \dots x_n$ ,  $v = y_1 \dots y_n$ , where  $x_i$  and  $y_i \in X$ . Extend  $\rho$  to  $G(X)$  by setting  $\rho(u, v) = \inf \left[ \sum_{i=1}^n \rho(x_i, y_i) \right]$ , where the infimum is taken over all representations  $u = x_1 \dots x_n$  and  $v = y_1 \dots y_n$ . The family of all such two-sided invariant pseudometrics on  $G(X)$  yield a topological group  $F_{\mathcal{S}}(X)$ . (It is shown elsewhere that  $F_{\mathcal{S}}(X)$  is the free topological SIN group on  $X$ .) Now  $F_{\mathcal{S}}(X)$  is Hausdorff;  $FG(X)$  is the group  $G(X)$  with the finest Hausdorff topology inducing the original topology on  $X$ . This topology  $FG(X)$  is in general [9] a finer topology than  $F_{\mathcal{S}}(X)$ .

Next we let  $G$  and  $H$  be topological groups. Graev defined a topology  $\tau$  (not the free product topology, in general) on  $G * H$  using the map  $p : G \times H \times \text{gp}[G, H] \rightarrow G * H$ . The method requires us to topologize  $\text{gp}[G, H]$  in some way and then topologize  $G * H$  to make the map  $p$  a homeomorphism. Since  $p$  is not a homomorphism it must be checked that this topology  $\tau$  on  $G * H$  is a group topology. (This is in fact quite difficult but our brief comments suppress this difficulty.) Let  $\rho_G$  and  $\rho_H$  be continuous right invariant pseudometrics on  $G$  and  $H$  respectively. Define a pseudometric  $\rho_{gh}$  on  $[G, H]$  by

$$\rho_{GH} \left( g_1^{-1} h_1^{-1} g_1 h_1, g_2^{-1} h_2^{-1} g_2 h_2 \right) = \min \left[ \min(\rho_G(g_1, e), \rho_H(h_1, e)) \right. \\ \left. + \min(\rho_G(g_2, e), \rho_H(h_2, e)); \rho_G(g_1, g_2) + \rho_H(h_1, h_2) \right].$$

The family of all such  $\rho_{GH}$  gives rise to a completely regular topology on  $[G, H]$ . Next, noting that  $\text{gp}[G, H]$  is a free group on  $[G, H] \setminus \{e\}$ , we topologize  $\text{gp}[G, H]$  by putting  $(\text{gp}[G, H], \tau_1) = F_{\mathcal{S}}[G, H]$ . Finally we define the topology  $\tau$  on  $G * H$  by making

$$p : G \times H \times (\text{gp}[G, H], \tau_1) \rightarrow (G * H, \tau) \text{ a homeomorphism.}$$

Thompson [13] showed that  $F_{\mathcal{S}}(X)$  is NSS if and only if  $X$  admits a continuous metric. (Thompson's result is stronger than that of Morris and Thompson [10] which showed that  $FG(X)$  is NSS if and only if  $X$  admits a continuous metric.)

Now if  $G$  is NSS, then  $G$  admits a continuous metric [10]; so if  $G$  and  $H$  are NSS, then  $G \times H$  admits a continuous metric. Thus  $[G, H]$  with the pseudometric topology described above admits a continuous metric. Hence  $F_{\mathcal{S}}[G, H]$  is NSS if  $G$  and  $H$  are NSS. We are now able to prove the following theorem:

**THEOREM 1.**  $G \parallel H$  is NSS if and only if  $G$  and  $H$  are NSS.

**PROOF.** If  $G \parallel H$  is NSS then any subgroup must be NSS. In particular,  $G$  and  $H$  must be NSS.

If  $G$  and  $H$  are NSS, then the above discussion yields that  $F_g[G, H]$  is NSS. We shall prove that  $(G * H, \tau)$  is NSS, as then  $G \parallel H$  which has the same algebraic structure but a finer topology will also be NSS. Suppose that  $(G * H, \tau)$ , which is homeomorphic to  $G \times H \times F_g[G, H]$ , fails to be NSS. Let  $N$  and  $M$  be neighbourhoods of  $e$  in  $G$  and  $H$ , respectively, which contain no non-trivial subgroups. Then  $\pi_1^{-1}(N) \cap \pi_2^{-1}(M)$  is a neighbourhood of  $e$  in  $(G * H, \tau)$ . Let  $A$  be a subgroup contained in  $\pi_1^{-1}(N) \cap \pi_2^{-1}(M)$ . Since  $\pi_1$  is a homomorphism and  $\pi_1(A) \subset N$  we must have  $\pi_1(A) = e$ . Similarly  $\pi_2(A) = e$ . Thus  $A \subset F_g[F, G] \subset (G * H, \tau)$ . Since  $F_g[G, H]$  is NSS,  $A = \{e\}$ , as desired.

**REMARKS.** (1) This theorem generalizes the main result of [8] which says that if  $G$  and  $H$  are connected locally compact groups then  $G \parallel H$  is NSS when and only when  $G$  and  $H$  are Lie groups.

(2) Note that the proof of Theorem 1 actually yields:  $(G * H, \tau)$  is NSS if and only if  $G$  and  $H$  are NSS.

The fact that  $(G * H, \tau)$  is homeomorphic to  $G \times H \times \text{gp}[G, H]$  leads us to ask if a similar result is true for  $G \parallel H$ . It is!

**THEOREM 2.** If  $\text{gp}[G, H]$  is topologized as a subset of  $G \parallel H$ , then  $G \parallel H$  is homeomorphic to  $G \times H \times \text{gp}[G, H]$  (the homeomorphism is given by the map  $p$ ).

**PROOF.** Since  $G \parallel H$  is a topological group, the product map  $(G \parallel H) \times (G \parallel H) \times (G \parallel H) \rightarrow G \parallel H$ , given by  $(g, h, k) \rightarrow ghk$  is continuous, and so is its restriction  $p : G \times H \times \text{gp}[G, H] \rightarrow G \parallel H$ . We must show that the inverse map is continuous. The maps  $\pi_1 : G \parallel H \rightarrow G$  and  $\pi_2 : G \parallel H \rightarrow H$  are continuous, so  $\pi_c(\omega) = \pi_2(\omega)^{-1} \pi_1(\omega)^{-1} \omega$  is a product of continuous maps and thus continuous. Hence the map  $\omega \rightarrow (\pi_1(\omega), \pi_2(\omega), \pi_c(\omega)) = (g, h, k)$  is continuous, completing the proof.

**THEOREM 3.** Suppose  $G \neq \{e\}$  and  $H \neq \{e\}$  are topological groups. Then  $G \parallel H$  is not a locally compact space or a complete metric space unless  $G$  and  $H$  are both discrete. (Of course if  $G$  and  $H$  are discrete,  $G \parallel H$  is also discrete, and consequently locally compact and complete metric.)

**PROOF.** Suppose  $G \parallel H$  is a locally compact space of a complete metric space; then so is the closed subgroup  $\text{gp}[G, H]$ . But as  $\text{gp}[G, H]$  is algebraically a free group it follows from Dudley [1] that  $\text{gp}[G, H]$  is discrete. Now  $G$  is also

discrete: for if  $\{g_\delta\}$  is a non-constant net converging to  $g \in G$  and  $h \in H \setminus \{e\}$ , then  $\{[g_\delta, h]\}$  is a non-constant net converging to  $[g, h]$  in  $\text{gp}[G, H]$ , which is impossible. Similarly  $H$  is discrete. Finally we see  $G \amalg H$ , which is homeomorphic to  $G \times H \times \text{gp}[G, H]$ , is also discrete.

REMARK. Theorems 2 and 3 hold (with the same proofs) for any group topology  $\mu$  on  $G * H$  for which the projections  $\pi_1 : (G * H, \mu) \rightarrow G$  and  $\pi_2 : (G * H, \mu) \rightarrow H$  are continuous and which induce the given topologies on  $G$  and  $H$ . Thus it would be of interest to answer:

QUESTION 1.<sup>1</sup> *Is there any group topology  $\mu$  on  $G * H$  such that either projection  $\pi_1 : (G * H, \mu) \rightarrow G$  or  $\pi_2 : (G * H, \mu) \rightarrow H$  is discontinuous?*

If continuity of  $\pi_1$  and  $\pi_2$  could be shown even under the hypothesis that  $G, H$  and  $(G * H, \mu)$  are locally compact, we could conclude that no group topology on an algebraic free product is locally compact (except trivially).

What is the topology that  $\text{gp}[G, H]$  receives as a subset of  $G \amalg H$ ? It is natural to hope that it has a free topological group topology, on an appropriate topology for  $[G, H]$ .

QUESTION 2. (a) *Does the topology induced on  $\text{gp}[G, H]$  as a subgroup of  $G \amalg H$  make it the free topological group  $FG[G, H]$ ?*

(b) *Is the topology induced on  $[G, H]$  as a subset of  $G \amalg H$ , the same as the quotient topology under the map  $G \times H \rightarrow [G, H]$  given by  $(g, h) \rightarrow [g, h]$ ?*

We have already noted that Graev's Topology  $F_g[G, H]$  is not, in general,  $FG[G, H]$ . Example 1 in §5 shows that 2 (b) is also false for Graev's topology; that is, Graev does not give  $[G, H]$  the quotient topology. On the other hand we will answer both 2 (a) and 2 (b) affirmatively when  $G$  and  $H$  are  $k_\omega$ -groups.

#### 4. Results for groups which are $k_\omega$ -spaces

We begin by answering Question 2 (b) for this case.

THEOREM 4. *Let  $G$  and  $H$  be topological groups which are  $k_\omega$ -spaces. Then  $\alpha : G \times H \rightarrow [G, H] \subset G \amalg H$  is a quotient map.*

PROOF. Let the  $k_\omega$ -space decompositions of  $G$  and  $H$  be  $G = \cup_n G_n$  and  $H = \cup_n H_n$ . In view of the Proposition stated in §2,  $G \amalg H$  is a  $k_\omega$ -space with decomposition  $G \amalg H = \cup_n \text{gp}_n(G_n \cup H_n)$ . (Thus a set  $A$  is closed in  $G \amalg H$  if and only if  $A \cap \text{gp}_n(G_n \cup H_n)$  is compact for all  $n$ , where  $\text{gp}_n(G_n \cup H_n)$  is the set of

<sup>1</sup> This question has since been answered in the affirmative.

elements of  $G \amalg H$  which are products of at most  $n$  elements of  $G_n \cup H_n$ ; it is compact in  $G \amalg H$ .)

Now let  $A \subset [G, H]$  be such that  $c^{-1}(A)$  is closed in  $G \times H$ . We must show  $A$  is closed in  $[G, H]$ . It will suffice to show  $A$  is closed in  $G \amalg H$ . We shall prove that  $A \cap \text{gp}_n(G_n \cup H_n) = c\left(c^{-1}(A) \cap \left(G_{n^2} \times H_{n^2}\right)\right) \cap \text{gp}_n(G_n \cup H_n)$  as the right hand side is the intersection of a continuous image of a compact set with a compact set it is compact.

If  $n < 4$ , both sides are trivial, so assume  $n \geq 4$ . Now if  $w \in \text{gp}_n(G_n \cup H_n)$ ,  $w = x_1 \dots x_n$ , with  $x_i \in G_n$  or  $H_n$ ; in reduced form  $w = g^{-1}h^{-1}gh$ , so clearly  $g$  is a product of at most  $n$  terms from  $G_n$ ; hence  $g \in G_{n^2}$ . Similarly  $h \in H_{n^2}$ . Since  $w = c(g, h)$  we have that  $w \in c\left(c^{-1}(A) \cap \left(G_{n^2} \times H_{n^2}\right)\right)$ . The other inclusions needed are easy. Hence  $A \cap \text{gp}_n(G_n \cup H_n)$  is compact for all  $n$ , and  $A$  is closed, as required.

Note that it follows from the Proof of Theorem 4 that  $[G, H]$  is closed in  $G \amalg H$ . We now turn to Question 2 (a).

**THEOREM 5.** *Let  $G$  and  $H$  be topological groups which are  $k_\omega$ -spaces. Then the topology on  $\text{gp}[G, H]$  as a subgroup of  $G \amalg H$  is the free topological group topology  $FG[G, H]$ .*

**PROOF.** Again let  $G = \cup G_n$  and  $H = \cup H_n$  be  $k_\omega$ -space decompositions. Then  $G \amalg H = \cup \text{gp}_n(G_n \cup H_n)$  and  $[G, H] = \cup\{[G, H] \cap \text{gp}_n(G_n \cup H_n)\}$  are  $k_\omega$ -space decompositions.

Now from the Proposition given in §2,  $FG[G, H]$  is a  $k_\omega$ -space with decomposition  $FG[G, H] = \cup \text{gp}_n([G, H] \cap \text{gp}_n(G_n \cup H_n))$ . On the other hand,  $\text{gp}[G, H]$  is a closed subgroup of  $G \amalg H$  and hence a  $k_\omega$ -space with decomposition  $\text{gp}[G, H] = \cup\{\text{gp}[G, H] \cap \text{gp}_n(G_n \cup H_n)\}$ .

Clearly each  $\text{gp}_n([G, H] \cap \text{gp}_n(G_n \cup H_n))$  is contained in  $\text{gp}[G, H] \cap \text{gp}_k(G_k \cup H_k)$ , for  $k = n^2$ ; we must show for each  $n$  there is an  $m$  such that  $\text{gp}[G, H] \cap \text{gp}_n(G_n \cup H_n) \subset \text{gp}_m([G, H] \cap \text{gp}_m(G_m \cup H_m))$ .

Let  $w \in \text{gp}[G, H] \cap \text{gp}_n(G_n \cup H_n)$ . Without loss of generality suppose  $n \geq 4$  and write  $w = g_1 h_2 g_3 \dots g_{n-1} h_n$ , each  $g_i \in G_n$  and each  $h_i \in H_n$ . We shall

discuss a way of writing  $w$  as a product of commutators.

$$\begin{aligned} w &= g_1 h_2 g_3 h_4 \dots g_{n-1} h_n \\ &= [g_1^{-1}, h_2^{-1}] h_2 (g_1 g_3) h_4 \dots g_{n-1} h_n \\ &= [g_1^{-1}, h_2^{-1}] [(g_1 g_3)^{-1}, h_2^{-1}]^{-1} (g_1 g_3) (h_2 h_4) g_5 \dots g_{n-1} h_n \\ &= [g_1^{-1}, h_2^{-1}] [(g_1 g_3)^{-1}, h_2^{-1}]^{-1} [(g_1 g_3)^{-1}, (h_2 h_4)^{-1}] \dots (g_1 \dots g_{n-1}) (h_2 \dots h_n) . \end{aligned}$$

The last line has  $n - 3$  commutators. Since  $\pi_1(w) = \pi_2(w) = e$  we see that

$g_1 \dots g_{n-1} = h_2 \dots h_n = e$ . So  $w$  is a product of  $n - 3$  commutators  $[g, h]^{\pm 1}$ , where each  $g$  is a product of at most  $n$  factors from  $G_n$  and hence lies in  $G_n^2$ .

Similarly for  $h$ . So for any  $m \geq n^2$  we have

$$[g, h] \in [G, H] \cap \text{gp}_m(G_m \cup H_m)$$

and

$$w \in \text{gp}_m([G, H] \cap \text{gp}_m(G_m \cup H_m)) ,$$

as desired. Thus the topologies of  $FG[G, H]$  and  $\text{gp}[G, H]$  are the same, completing the proof.

REMARK. It follows that if  $G$  and  $H$  are topological groups and  $k_\omega$ -spaces,  $G \amalg H$  contains a free topological group  $FG[G, H]$  on a  $k_\omega$ -space  $[G, H]$ . In this case we can draw somewhat stronger conclusions than Theorem 3; for instance,  $G \amalg H$  is (except trivially) not metrizable and not SIN. (A topological group is said to be a SIN group if every neighbourhood of  $e$  contains a neighbourhood of the identity invariant under inner automorphisms of the group.) This leads us to ask

QUESTION 3. If  $G$  and  $H$  are topological groups, at least one of which is not a discrete space, can  $G \amalg H$  be

- (a) metrizable, or
- (b) a SIN group?

By methods exactly similar to those used in Theorem 5 we obtain

THEOREM 6. Let  $G$  and  $H$  be topological groups which are  $k_\omega$ -spaces; let  $A$  be a closed subgroup of  $G$  and  $B$  be a closed subgroup of  $H$ . Then the subgroup of  $G \amalg H$  generated by  $A \cup B$  is closed and is (topologically and algebraically)  $A \amalg B$ .

For general  $G$  and  $H$ ,  $A$  and  $B$  closed does imply that the group generated



by  $A \cup B$  in  $G \amalg H$  is closed; this however requires a careful examination of the Graev topology  $(G * H, \tau)$  introduced before Theorem 1. It does not provide an answer to:

QUESTION 4. Let  $G$  and  $H$  be topological groups and  $A$  and  $B$  closed subgroups of  $G$  and  $H$  respectively. Let  $\text{gp}(A \cup B)$  denote the subgroup of  $G \amalg H$  generated by  $A \cup B$ . Algebraically it is  $A * B$ . Is  $\text{gp}(A \cup B)$  the topological free product  $A \amalg B$ ?

It is natural to ask whether the topology of  $G \amalg H$  depends only on the topologies of  $G$  and  $H$  or also on the group structures. One may be inclined to conjecture that if  $f_1 : G_1 \rightarrow H_1$  and  $f_2 : G_2 \rightarrow H_2$  are homeomorphisms, perhaps a homeomorphism  $f_1 * f_2 : G_1 * G_2 \rightarrow H_1 * H_2$  can be constructed by letting

$f_1 * f_2(r_1 s_1 \dots r_n s_n) = f_1(r_1) f_2(s_1) \dots f_1(r_n) f_2(s_n)$ , where  $r_i \in G_1$  and  $s_i \in G_2$ . This fails in general! For instance, if  $\{s_\delta\}$  is a net converging to  $e$  in  $G_2$ ,  $f_2(e) = e$  and  $r_1$  and  $r_2$  are elements of  $G_1$  with  $f_1(r_1) f_1(r_2) \neq f_1(r_1 r_2)$ , then

$$\lim f_1 * f_2(r_1 s_\delta r_2) = \lim f_1(r_1) f_2(s_\delta) f_1(r_2) = f_1(r_1) f_1(r_2)$$

while

$$f_1 * f_2(\lim r_1 s_\delta r_2) = f_1 * f_2(r_1 r_2) = f_1(r_1 r_2) \neq f_1(r_1) f_1(r_2),$$

so  $f_1 * f_2$  is discontinuous.

In the  $k_\omega$ -space case, another approach succeeds:

THEOREM 7. Let  $G_i$  and  $H_i$  be topological groups which are  $k_\omega$ -spaces, for  $i = 1, 2$ . If  $G_i$  is homeomorphic to  $H_i$ ,  $i = 1, 2$  then  $G_1 \amalg G_2$  is homeomorphic to  $H_1 \amalg H_2$ .

PROOF. As  $G_1 \amalg G_2$  is homeomorphic to  $G_1 \times G_2 \times FG[G_1, G_2]$  and  $H_1 \amalg H_2$  is homeomorphic to  $H_1 \times H_2 \times FG[H_1, H_2]$  and as  $FG(X)$  and  $FG(Y)$  are homeomorphic if  $X$  and  $Y$  are homeomorphic (independent of the choice of basepoints) it will suffice to show that  $[G_1, G_2]$  is homeomorphic to  $[H_1, H_2]$ . Let  $f_i : G_i \rightarrow H_i$  be a homeomorphism for  $i = 1, 2$ ; since topological groups are homogeneous, we may assume that the  $f_i$  have been chosen so that  $f_i(e) = e$  for each  $i$ . Hence the diagram

$$\begin{array}{ccc}
 G_1 \times G_2 & \xrightarrow{f_1 \times f_2} & H_1 \times H_2 \\
 \downarrow a & & \downarrow a \\
 [G_1, G_2] & \xrightarrow{j} & [H_1, H_2]
 \end{array}$$

is commutative, where  $j([g_1, g_2]) = [f_1(g_1), f_2(g_2)]$ , and as each vertical map is a quotient map,  $j$  is a homeomorphism. This completes the proof.

In view of this it appears that general solutions to Question 2 (a) and 2 (b) would allow a general solution of:

QUESTION 5. Let  $G_i$  and  $H_i$  be topological groups for  $i = 1, 2$ . If  $G_i$  is homeomorphic to  $H_i$  for  $i = 1, 2$  is  $G_1 \amalg G_2$  necessarily homeomorphic to  $H_1 \amalg H_2$ ?

It was shown in Ordman [12] that if  $G$  and  $H$  are arcwise connected topological groups, then the fundamental group

$$\pi(G \amalg H) = \pi(G \times H) \times L = \pi(G) \times \pi(H) \times L$$

for some group  $L$ . It was conjectured that  $L$  is always trivial. We now see that  $\pi(G \amalg H) = \pi(G) \times \pi(H) \times \pi(\text{gp}[G, H])$ , where  $\text{gp}[G, H]$  has the induced topology from  $G \amalg H$ . Further if  $G$  and  $H$  are  $k_\omega$ -spaces, then

$$\pi(G \amalg H) = \pi(G) \times \pi(H) \times \pi(\text{FG}[G, H]) .$$

So the group  $L$  has now been identified. However we have been unable to prove that  $\pi(\text{FG}[G, H])$  is trivial in any case other than the one covered in [12]; that is, when  $G$  and  $H$  are countable CW-complexes with exactly one-zero-cell. It seems reasonable to conjecture that if  $G$  and  $H$  are simply connected then  $\pi(G \amalg H) = \pi(G) \times \pi(H)$ . However for this we need to answer

QUESTION 6. If  $X$  is simply connected is  $\text{FG}(X)$  necessarily simply connected? Is it true under the additional assumption that  $X$  is a  $k_\omega$ -space?

### 5. Examples

We conclude by giving two elementary examples which bear on the preceding.

EXAMPLE 1. The map  $c : G \times H \rightarrow [G, H] \subset (G * H, \tau)$  is not a quotient map, in general, where  $\tau$  is Graev's topology. Let  $G = H = \mathbb{R}$ , the additive group of reals with the usual topology. Consider the sequence  $\alpha_n = (n, 1/n)$  in  $\mathbb{R} \times \mathbb{R}$ .

Now  $c(\alpha_n)$  converges to  $e$  in  $(\mathbb{R} * \mathbb{R}, \tau)$ , for

$$\rho(c(\alpha_n), e) = \min(|n|, |1/n|) = 1/n \rightarrow e ,$$

where  $\rho$  is the metric (described in §3) arising from the usual metric on each copy of  $R$ . However  $c(a_n)$  fails to converge to  $e$  in  $R \amalg R$ . To see this note that  $R$  is a  $k_\omega$ -space with decomposition  $R = \cup[-n, n]$ . Since  $\{c(a_k) : k = 1, 2, \dots\}$  has finite intersection with each  $\text{gp}_n([-n, n] \cup [-n, n])$  (here the first  $[-n, n] \subset R = G$ , the second  $[-n, n] \subset R = H$ ), it is a closed set in  $R \amalg R$  and hence does not converge to  $e$ .

Since  $c(a_n) \in [R, R]$  for all  $n$  and  $e \in [R, R]$ , it follows that  $[R, R]$  is topologized differently in  $(R * R, \tau)$  than in  $R \amalg R$ . Hence answering Question 2 will require more than an appeal to Graev's topology.

Incidentally the above argument also shows that the topology constructed in Ordman [11 (I)] also yields a topology on  $R * \bar{R}$  other than the free product topology.

EXAMPLE 2. While the free product of compact groups is a  $k_\omega$ -space, it is very large. Although every discrete subgroup of a compact group is finite, the free product  $T \amalg T$  of two circle groups contains a discrete subgroup which is not even finitely generated. Consider the subgroup  $\{e, a\}$  of order 2 of the first factor and the subgroup  $\{e, b, b^2\}$  of order 3 of the second factor. The free product  $\{e, a\} \amalg \{e, b, b^2\}$  is discrete and by Theorem 6 it is a subgroup of  $T \amalg T$ . Hence its subgroup  $\text{gp}[\{e, a\}, \{e, b, b^2\}]$ , the free group on the two generators  $x = [a, b]$  and  $y = [a, b^2]$  is discrete. This group in turn contains the free group on the countable set  $\{x, yxy^{-1}, y^2xy^{-2}, \dots\}$ .

On the other hand, compact subgroups of  $T \amalg T$  are very small. Every compact subset of  $T \cup T$  is contained in some group  $\text{gp}_n(T \cup T)$ ; that is, has bounded word length. However the only subgroups of  $T * T$  with bounded word length are those which are conjugates of subgroups of one of the two factors. Hence every compact subgroup  $T \amalg T$  is either finite, or a conjugate of one of the two factors and hence itself a circle group.

QUESTION 7. *What are the locally compact subgroups of  $T \amalg T$ ?*

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